

REMARKS

Claims 6-20 are pending in this application and have been subjected to restriction by the Examiner under 35 U.S.C. §121 as follows:

- I. Claims 6-10 and 18 drawn to isolated polypeptides, classified in class 530, subclass 350.
- II. Claims 11-13 and 18 drawn to a method of modulating expression of bcl-w or derivative thereof in a mammal using a sense molecule that regulates the expression of bcl-w gene, classified in class 514, subclass 44.
- III. Claims 11-12, 14 and 18, drawn to a method of modulating expression of bcl-w or derivative thereof in a mammal using a ribozyme molecule that targets the expression of bcl-w mRNA, classified in class 514, subclass 44.
- IV. Claims 15-17 and 19-20, drawn to a method of modulating activity bcl-w or derivative thereof in a mammal using an antibody to bcl-w, classified in class 512, subclass 2.

In support of the restriction requirement, the Examiner has alleged that the subject matter defined by the claims of the present invention represents four separate and distinct inventions. The Examiner alleges that Groups I and IV are distinct because the proteins of Group I and the antibodies used in the methods of Group IV have different structure and functions, and can be used in materially different processes. In addition, the Examiner contends that Groups II and III are distinct because the modes of operation, functions and effects of a sense molecule employed in the methods of Group II are different from modes of operation, functions and effects of a ribozyme molecule employed in the methods of Group III. In addition, the Examiner contends that Groups II-III are unrelated to Groups I and IV, because the methods of Groups II-III do not require the use of polypeptides of Group I or the antibodies of Group IV.

In order to be fully responsive to the Examiner's requirements for restriction, Applicants provisionally elect to prosecute with traverse, the subject matter of Group I, Claims 6-

10 and 18, drawn to isolated polypeptides. However, pursuant to 37 C.F.R. §§1.111 and 1.143, Applicants hereby traverse the Examiner's requirement for restriction and request reconsideration thereof in view of the following remarks.

An Examiner's authority to require restriction is defined and limited by statute:

If two or more independent and distinct inventions are claimed in one application, the Commissioner may require the application to be restricted to one of the inventions.

35 U.S.C. §121, first sentence (emphasis added). The implementing regulations of the Patent and Trademark Office include the mandate that restriction is appropriate only in cases presenting inventions which are both independent and distinct, 37 C.F.R. §§1.141-142. Without a showing of independence and distinctness, a restriction requirement is unauthorized.

In the present application, the claims which the Examiner has grouped separately are not "independent and distinct" so as to justify the restriction requirement. Specifically, the present invention is directed to the identification and isolation of the Bcl-w proteins. The present inventors have discovered that Bcl-w enhances cell-survival. Accordingly, the present application provides isolated Bcl-w proteins (Group I) and various methods of modulating the expression of the bcl-w gene or the activity of Bcl-w proteins (Groups II-IV). Although the methods of Groups II-IV may employ different molecules in the respective method steps, the purposes and objectives of these methods are all directed to modulating the quantity or function of the Bcl-w proteins. Therefore, Applicants respectfully submit that Groups I-IV are related to each other as different aspects of a single invention, and are not "independent and distinct".

In addition, the courts have recognized that it is in the public interest to permit applicants to claim several aspects of their invention together in one application, as the Applicants have done herein. The CCPA has observed:

We believe the constitutional purpose of the patent system is promoted by encouraging applicants to claim, and therefore to describe in the manner required by 35 U.S.C. §112 all aspects as to what they regard as their invention, regardless of the number of statutory classes involved.

In re Kuehl, 456 F.2d 658, 666, 117 U.S.P.Q. 250, 256 (CCPA 1973). This interest is consistent with the practical reality that a sufficiently detailed disclosure supporting claims to one aspect of an invention customarily is sufficient to support claims in the same application to other aspects of the invention.

Applicants respectfully suggest that in view of the continued increase of official fees and the potential limitation of an applicants financial resources, a practice which arbitrarily imposes four-way restriction requirements may become prohibitive and thereby contravene the constitutional purpose to promote and encourage the progress of science and the useful arts. Moreover, under the regulatory changes as a consequence of the implementation of the General Agreement on Trade and Tariffs (GATT), Applicants are required either to conduct simultaneous prosecution, as here, requiring excessive filing costs, or otherwise compromise the term of their patent assets.

It is vital to all applicants that restriction requirements issue only with the proper statutory authorization, because patents issuing on divisional applications which are filed to prosecute claims that the Examiner held to be independent and distinct can be vulnerable to legal challenges alleging double patenting. The third sentence of 35 U.S.C. §121, which states that a patent issuing on a parent application "shall not be used as a reference" against a divisional

application or a patent issued thereon, does not provide comfort to applicants against such allegations. The Court of Appeals for the Federal Circuit has declined to hold that §121 protects a patentee from an allegation of same-invention double patenting, Studiengesellschaft Kohle mbH v. Northern Petrochemical Co., 784 F.2d 351, 355, 288 U.S.P.Q. 837, 840 (Fed. Cir. 1986); and in Gerber Garment Technology Inc. v. Lectra Systems Inc., 916 F.2d 683, 16 U.S.P.Q. 2d 1436 (Fed. Cir. 1990) that court held that §121 does not insulate a patentee from an allegation of “obviousness-type” double patenting, and in fact affirmed the invalidation on double patenting grounds of a patent that had issued from a divisional application filed following a restriction requirement. Furthermore, it is far from clear that the step of filing a terminal disclaimer is available to resolve a double patenting issue that arises after the issuance of a patent on the divisional application.

All these considerations indicate that the imposition of a restriction requirement with inadequate authority can lead to situations in which an applicants legitimate patent rights are exposed to uncertainty and even extinguished. Accordingly, to protect a patentee’s rights and to serve the public’s interest in the legitimacy of issued patents, Applicants respectfully urge the Examiner not to require restriction in cases such as the present application wherein various aspects in a unitary invention are claimed.

The Examiner has justified the restriction requirement in this case by reference to the different subclasses of the Patent and Trademark Office classification system in which the four groups of claims would allegedly be classified. This basis fails to justify the restriction requirement in this application.

Reliance on the classification of the groups of claims does not establish independence and distinctness. The classification system has no statutory recognition as evidence of whether

inventions are independent and distinct. The classification system is instead an aid in finding and searching for patents.

The classification system is also an unreliable basis for requiring restriction between claims to the various aspects of applicants' unitary invention, because the system exhibits considerable overlap in technical definitions. In particular, the definitions of subclasses in the classification system do not prevent the Examiner from basing patentability decisions, as to claims assigned to one group, on patent references found in the subclass(es) with which the Examiner associated another group of claims.

Furthermore, the classification system is a poor basis for requiring restriction between related aspects of an invention because classifications and definitions change over time. Thus, a classification that might have seemed to support restriction at a given time could change, thereby casting a shadow over the propriety of the restriction requirement later on during the term of the patents issuing from parent and divisional applications. Indeed, classifications seem largely to change in response to considerations of administrative convenience, and often in response to nothing more than growth in the number of patents in a given class or subclass. These considerations have nothing to do with whether the subject matter of patents assigned to different classifications is "independent and distinct" as those terms are used in 35 U.S.C. §121, which fact proves that basing restriction requirements on the classification system is improper.

Finally, Applicants respectfully submit that a determination to make the pending restriction requirement final must evidence the patentable distinctness of all defined four groups, one from the other, as presented by the Examiner.

In view of the foregoing comments, it is respectfully urged that the Examiner reconsider and withdraw the requirement for restriction and provide an action on the merits with respect to all the claims.

Respectfully submitted,



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